

42390.P7948

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of:

Robert W. Faber et al.

Serial No.: 09/540,190

Filed: March 31, 2000

For: Method And Apparatus For Protected Exchange  
Of Status And Secret Values Between A Video  
Source Application And A Video Hardware Interface

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Examiner: Song, Hosuk

Art Unit: 2135

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MAY 07 2004

Technology Center 2100

**SUBMISSION OF FORMAL DRAWINGS**

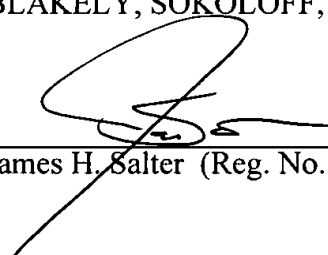
Dear Sir:

Enclosed herewith for submission in the United States patent application referenced  
above are Six (6) sheets of formal drawings.

If there are any further charges please charge them to Deposit Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 4/30, 2004

  
James H. Salter (Reg. No. 35,668)

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail  
in an envelope addressed to : Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450

on April 30, 2004.

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters 4/30/2004  
Signature Date



FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 180.00

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MAY 07 2004

Technology Center 2100

Complete is shown:

Application No. 09/540,190  
Filing Date March 31, 2000  
First Named Inventor Robert W. Faber  
Examiner Name Song, Hosuk  
Art Unit 2135  
Attorney Docket No. 42390.P7948

☐ Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☐ Charge fee(s) indicated below.

☒ Credit any overpayments.

☒ Charge any additional fees during the pendency of this application.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

☐ Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity    |          | Small Entity |          | Fee Description                    | Fee Paid |
|-----------------|----------|--------------|----------|------------------------------------|----------|
| Code            | Fee (\$) | Code         | Fee (\$) |                                    |          |
| 1001            | 7702001  | 385          |          | Utility application filing fee     | _____    |
| 1002            | 3402002  | 170          |          | Design application filing fee      | _____    |
| 1003            | 5302003  | 265          |          | Plant filing fee                   | _____    |
| 1004            | 7702004  | 385          |          | Reissue filing fee                 | _____    |
| 1005            | 1602005  | 80           |          | Provisional application filing fee | _____    |
| SUBTOTAL (1) \$ |          |              |          |                                    | <u>0</u> |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |           |          | Extra Claims | Fee from below                      | Fee Paid |
|--------------------|-----------|----------|--------------|-------------------------------------|----------|
| Total Claims       | <u>49</u> | - 49** = | <u>0</u>     | <input checked="" type="checkbox"/> | _____    |
| Independent Claims | <u>8</u>  | - 8** =  | <u>0</u>     | <input checked="" type="checkbox"/> | _____    |
| Multiple Dependent |           |          |              |                                     | _____    |

\*\*Or number previously paid, if greater; For Reissues, see below.

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Code         | Fee (\$) | Code         | Fee (\$) |   |
| 1202         | 182202   | 9            |          | Claims in excess of 20                                    |
| 1201         | 862201   | 43           |          | Independent claims in excess of 3                         |
| 1203         | 2902203  | 145          |          | Multiple dependent claim, if not paid                     |
| 1204         | 862204   | 43           |          | **Reissue independent claims over original patent         |
| 1205         | 182205   | 9            |          | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 0

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## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1813         | 8,800    | 1813         | 8,800    | Request for inter parties reexamination                                    |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 420      | 2252         | 210      | Extension for reply within second month                                    |          |
| 1253         | 950      | 2253         | 475      | Extension for reply within third month                                     |          |
| 1254         | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |          |
| 1255         | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |          |
| 1401         | 330      | 2401         | 165      | Notice of Appeal   |          |
| 1402         | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |          |
| 1403         | 290      | 2403         | 145      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501         | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |          |
| 1502         | 480      | 2502         | 240      | Design issue fee   |          |
| 1503         | 640      | 2503         | 320      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  | 180.00   |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 770      | 2809         | 385      | For filing a submission after final rejection (see 37 CFR 1.129(a))        |          |
| 1814         | 110      | 2814         | 55       | Statutory Disclaimer   |          |
| 1810         | 770      | 2810         | 385      | For each additional invention to be examined (see 37 CFR 1.129(b))         |          |
| 1801         | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| 1504         | 300      | 1504         | 300      | Publication fee for early, voluntary, or normal pub.                       |          |
| 1505         | 300      | 1505         | 300      | Publication fee for republication  |          |
| 1803         | 130      | 1803         | 130      | Request for voluntary publication or republication                         |          |
| 1808         | 130      | 1808         | 130      | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |          |
| 1454         | 1,330    | 1454         | 1,330    | Acceptance of unintentionally delayed claim for priority                   |          |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) \$ 180.00

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James H. Salter

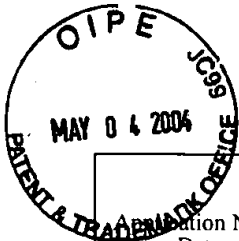
Signature: \_\_\_\_\_

Date: 4/30/04

Reg. Number: 35,668

Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



2135

**AMENDMENT TRANSMITTAL**

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MAY 0 7 2004

Technology Center 2100

Application No.: 09/540,190  
Filing Date: March 31, 2000  
First Named Inventor: Robert W. Faber  
Examiner's Name: Song, Hosuk  
Art Unit: 2135  
Attorney Docket No.: 42390.P7948

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☐ Preliminary Amendment
- ☒ Amendment/Response with respect to Office Action
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☒ Information Disclosure Statement (IDS)
- ☒ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☒ Other: Submission Of Formal Drawings Transmittal, in duplicate
- ☒ Other: Formal Drawings, 6 sheets, 8 figures
- ☒ Check(s)
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: James H. Salter, Reg. No. 35, 668

SIGNATURE: 

DATE: 4/30/04

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Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

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Date of Deposit

Claire Wallters

  
Signature

Name of Person Mailing Correspondence

4/30/2004  
Date

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